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uTshani BuyaKhuluma—The Grass Speaks The political space and capacity of the South African Homeless People's Federation

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Abstract

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The point of departure for this article is the contemporary tendency towards localisation of politics in the context of neo-liberal globalisation. Mediated through institutional reforms, political discourses and localised struggles, this localisation of politics produce new and transformed local political spaces. The purpose of the article is to examine the capacity of popular movements to

3 use and transform such political spaces within the South African housing sector. This analysis is done through a combination of

4 conceptual examination of *political space* and *actor capacity* and a concrete case study of the *political strategies* and *capacities* of The

15 South African Homeless People's Federation. The article argues that the Federation has utilised political relations at different scales

6 to mobilise resources such as land and subsidies for housing for its members. It has also influenced the formulation of housing

17 policies through its discourses and practical experiences with people-driven housing processes. In consequence the Federation's

8 ability to function as a civil/political movement has granted them a certain capacity to participate in the complicated process of

19 turning de jure rights to adequate shelter into de facto rights for the urban poor as citizens of a democratic South Africa.

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21 Keywords: South Africa; Democratisation; Local politics; Social Movements; Housing; South African Homeless People's Federation

22 1. Localisation of politics in the age of neo-liberal globalisation

The contemporary world is characterised by both globalisation and localisation, i.e. simultaneous tendencies towards global economic and cultural integration and local embeddedness (Castells, 1996, 1997; Cox, 1997; Held, 2000; Held et al., 1999). This global–local tension has generated a growing literature on local economic embeddedness and local governance in the context of neo-liberal globalisation, as well as discussions about the production of scale and inter-scalar relations (Collinge, 1999; Cox, 1998; MacLeod and

34 Goodwin, 1999; Marston, 2000; Peck, 2002; Sheppard,

34 Goodwin, 1999; Marston, 2000; Peck, 2002; Sneppard,

35 2002). A less examined trend in conjunction with glob-

36 alisation is localisation of politics. Local-scale politics are

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mediated through institutional reforms towards decentralisation, local democratisation and good governance. discourses on local participation and civil society, as well as localised struggles over local, national and global issues. Simultaneously, recent development theory and practice have been marked by various attempts to compensate for the negative effects of globalisation by turning 'the local' into a prime site of socio-economic development and political democratisation (Mohan and Stokke, 2000; Nederveen Pieterse, 2001). The normative assumption is that mutually enabling relations between decentralised state institutions, local businesses and civil society will generate economic growth, poverty alleviation and good governance. These tendencies towards localisation of politics and localism in development call for critical analyses of the making and transformation of local political spaces and the strategies and capacities of different actors (e.g. local elites and political parties, trade unions and popular movements, local state institutions and governments) to utilise rights, institutional

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channels and discourses to promote their instrumental and democratic aims. The purpose of this article is to 59 examine the ways in which popular movements may use and transform political channels and discourses within 60 61 the South African housing sector. This is done through a 62 combination of conceptual examination of political space and actor capacity and a concrete case study of the 63 political strategies and capacities of The South African Homeless People's Federation (SAHPF). The aim is to 66 analyse their capacity to make use of local and non-local 67 political spaces and promote the social and political 68 interests of the communities they seek to represent.

69 2. Local politics and democratisation in South Africa

In South Africa, institutional reforms towards local administration and government have been on the political agenda for at least two decades. The National 72 Party (NP) initiated various reforms, including the 74 construction and operation of local authorities, towards the end of the apartheid period in an attempt to over-76 come economic and political crises and to split, depoliticise and contain township struggles (Coovardia, 1991; 78 Parnell, 1992). Simultaneously, local civic organisations and trade unions sought to make cities and townships ungovernable and ultimately to make a democratic transition unavoidable (Bozzoli, 1996; Parnell, 1992; 82 Seekings, 2000). Politicisation of local single issues and specific interests, e.g. land rights and housing, were 83 central to the ideological struggle for liberation and democratisation. Thus, local politics, dialectically re-86 lated to national politics, was an integral part of the anti-apartheid struggle in the 1980s and early 1990s (Maharaj, 1996; Mayekiso, 1996).

South Africa's democratic transition in the early 1990s produced a radical constitutional reform that granted extensive formal rights for all citizens and numerous institutional reforms, including national elections from 1994, to ensure their actual implementation. These changes at the national scale have been followed by local elections, extensive local government reforms and political discourses endorsing good governance and popular participation (Atkinson and Reitzes, 1998; Cameron, 1999). These transformations mean that historically well-organised political and civic associations 100 have been placed in a situation with radically transformed and widened local, regional and national political spaces (Neocosmos, 1998; Smit, 2001). This combination of a vibrant civil society and a conducive political environment should, it seems, provide an ideal case for substantial democratisation, i.e. a situation where ordinary citizens have both the possibility and the capacity to make use of democratic rights, institutions and discourses to address their instrumental and democratic aims (Törnquist, 2002). Unfortunately, in practice, the post-apartheid political and socio-economic conditions have proven to be more complex and contradictory (Bond, 2000a,b).

One major obstacle for substantial democratisation in South Africa remains the persistent and increasing social inequalities and absolute poverty. While the immediate post-1994 period was characterised by a remarkable political liberalisation, the ensuing postapartheid period has been marked by a transition in macro-economic policy with important bearings on the fulfilment of socio-economic rights and, thereby, the question of substantial democratisation. The early postapartheid period was characterised by the state-led Reconstruction and Development Programme (RDP) designed and concomitant with other restructuring processes to rectify systematic socio-economic differentiation and discrimination. The macro-economic context on which the RDP built was, however, constrained and circumscribed by the structural imperatives of the South African domestic and global economy. Thus, RDP state-led reconstruction and transformation battled with and, eventually, gave way to neo-liberal policy in the government's policy for Growth, Employment and Redistribution (GEAR) (Marais, 2001). Current macroeconomic policies, while designed to enhance economic competitiveness in the long run, have perpetuated and deepened absolute and relative poverty in the short run (Adelzadeh, 1996; Nattrass and Seekings, 2001). Although South African citizens have been granted extensive de jure socio-economic rights, the translation of these rights into de facto socio-economic empowerment has proven to be extremely complicated and persists as a fundamental problem of substantial democratisation in South Africa.

Post-apartheid political participation has also proved complicated in practice. While democratic elections have placed the tripartite alliance from the anti-apartheid struggle—the African National Congress (ANC), the Congress of South African Trade Unions (COSATU) and the South African Communist Party (SACP)—in a hegemonic political position at the national level, the actual political participation of popular forces is debatable. The influence and power of organs of civil society such as civic associations and trade unions, instrumental in the struggle against apartheid, have generally been on the decline, not the least as a result of co-optation of civic leaders, a depoliticisation and normalisation of politics and the dominance of technocratic approaches to development (Adler, 2000; Adler and Steinberg, 2000; Smit, 2001). Although these organisations continue to be active in cities and towns across South Africa, their activities are uneven geographically and tend to resonate on the local level in specific areabased issues (Habib and Kotzé, 2002; Huchzermeyer, 2001). The translation of local interests and demands into national policy and ideological struggles has been

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caught up in the multifaceted and fragmented ways in which policy and finance for services and basic infrastructure are directed from the national state, financed 168 through provincial bodies, and implemented by municipalities. Furthermore, the uneven capacity and power 171 of state institutions, organs of civil society, and indi-172 viduals makes local power sharing arrangements problematic in practice (Oldfield, 2000a,b, 2002). Although South Africa has made substantial progress towards 175 formal democratisation at both the national, provincial and municipal levels, the experiences of 'everyday' South Africans and the diverse movements that represent them are mired in the complex ways in which the unequal 179 legacies of the apartheid past are reinvented in the postapartheid present. The majority's transformation from apartheid subjects to democratic citizens remain bogged 182 down in the fragmentation of political spaces and indi-183 vidual and community-based groups' uneven capacities 184 to utilise and transform democratic rights and institu-185 tions (Reitzes, 1998).

186 These challenges of substantial democratisation are 187 especially visible in the case of social rights such as employment and shelter. Implementation of these rights for the urban poor remains a fundamental challenge of 190 substantial democratisation in South Africa. The con-191 stitution guarantees a right to adequate shelter for all 192 citizens, but it remains a daunting task to translate this into actual houses for marginalised groups. In this sit-194 uation, new popular movements have emerged around 195 issues of housing provisioning (e.g. the SAHPF) and municipal practices of evictions from homes and disconnections of municipal services (e.g. Western Cape 198 Anti-Eviction Campaign and Soweto Electricity Crisis 199 Committee) (Millstein, 2001; Oldfield and Stokke, 2002). This raises conceptual and empirical questions about the political capacity of these new housing 202 movements and about the nature of political spaces within the post-apartheid housing sector.

04 3. Conceptualising political space and movement capacity

205 Recent studies of social movements have drawn at-206 tention to the importance of political opportunity 207 structures, mobilising structures and cultural framing 208 for the emergence and success of collective actors (della Porta and Diani, 1999). These terms refer to political, 210 social and cultural conditions for collective action, but also draw attention to the collective actors' ability to comprehend, utilise and transform such conditions. One way of specifying the relevant contextual conditions beyond ambiguous notions of conducive or hostile po-215 litical environments—is through the concept of political space suggested by Webster and Engberg-Pedersen (2002). This term, which refers to a political terrain that 218 offers uneven possibilities for different political actors and strategies, contains two main mechanisms for political influence: *institutional channels* and *political discourses*. ¹

3.1. Institutional channels

The first dimension concerns the cluster of constitutional rights and the institutions that uphold, promote or prevent the actual implementation of such rights. Equally important are the formal and informal procedures for affecting policy formulation and implementation. In the case of social movements, this refers to what is commonly described as the political opportunity structures for collective action (Tarrow, 1994). Such opportunity structures are usually taken to include "the stability or instability of that broad set of elite alignments that typically undergird a polity; the presence or absence of elite allies; the state's capacity and propensity for repression" (McAdam et al., 1996, p. 27). While rights and institutions provide a formal framework for participation, such political channels may be decisive for actual access to and transformation of rights and institutions (Holland and Mohan, 2000; McEwan, 2000).

3.2. Political discourse

The second dimension in Webster and Engberg-Pedersen's conceptualisation regards the discursive framing of rights and responsibilities, institutions and popular actors, political injustices and goals. This means that there is a dynamic and competitive process of strategic framing in a variety of arenas, within and between the political sphere and civil society (della Porta and Diani, 1999; Johnston and Klandermans, 1995: Melucci, 1996). At the most general level it can be observed that we are now, in the context of neo-liberal globalisation, seeing a powerful global development discourse that emphasises various institutional reforms in favour of democratisation, human rights, decentralisation, good governance and civil society. Such discourses and their institutional manifestations, define political spaces for various individual and collective actors who claim to be the legitimate expressions of these good causes and 'the people'. The vital question then regards the capacity of diverse actors to claim positions as representatives or to challenge competing claims, and thereby make use of and possibly transform political discourses.

Shifting the focus from political space to actors, it can be observed that the last two decades have seen a new interest among development researchers in questions of

¹ A third dimension is identified as *social and political practices* which may be a basis for influencing policy and programme agendas, decision-making and implementation. We will examine this as a question of movement capacity (see below).

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266 political capacity. One key contribution to this shift has 267 been analyses of the relative autonomy and capacity of 268 developmental states to formulate goals and implement policies vis-à-vis the economy. Migdal et al. (1994) 269 270 correctly observe that this approach has yielded an 271 overemphasis on state institutions at the expense of so-272 cial forces and advocate a relational approach to the 273 autonomy and capacity of actors within both state and society (Oldfield, 2000a,b). Unfortunately, they provide 275 few analytical guidelines beyond this general observa-276 tion. Some useful pointers may, however, be derived 277 from recent studies of movement politics. Based on 278 observations of popular movements in Indonesia and 279 Kerala, Törnquist (1999, 2000, 2002) proposes three 280 questions that he sees as especially central to the analysis of movement political capacity. These regard (a) where 281 282 in the political terrain the actors choose to work; (b) 283 what issues and interests they promote and politicise; 284 and (c) how people are mobilised into political movements and the political sphere. 285

3.2.1. Location in political terrain

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Törnquist (2002) presents a schematic map of the political terrain for movement politics. This approach revolves around three major ways in which societal activities are organised at different scales: state and local government; self-government units (e.g. neighbourhood associations, co-operatives, ethnic and religious communities, clans, and families), and; business units. Popular movements may be active within a relatively autonomous public space between these spheres. In this public space, actors form three main kinds of associations: political societies (e.g. political parties, pressure groups, lobbying groups), which seek influence within the political arena; civil societies, which organise in regard to either business units (e.g. trade unions and peasant organisations) or to self-government units (e.g. religious movements that relate to various churches, or women's organisations against domestic violence), and; civil-political societies that combine or link the activities of political and civil societies (e.g. labour movements with a political party in addition to popular unions, women groups, co-operatives etc.; human-rights groups that both support victims of violence and seek to influence state policies, and; peasant movements that mobilise against landlords but also campaign for public land reforms). Törnquist's argument is that reflexivity and strategic decisions regarding where and how to be active in this political terrain may provide movements with vital political capacity.

315 3.2.2. Politicisation of issues and interests

The second dimension in Törnquist's framework regards the content of movement politics, i.e. the issues and interests that actors choose to bring up to be included into politics. More specifically, Törnquist (2002) argues that the politicisation of issues and interests may be analysed in terms of whether it is based on *single issues* and specific interests; *ideologies* and collective interests; or *moral and spiritual values* and communal loyalties. Social movement scholars have emphasised that collective actors play a vital role in constructing and communicating identities, grievances and political alternatives. This cultural framing is obviously located within a discursive field with a dynamic relationship between movement discourses and the populations they intend to mobilise and between movement discourses and those of political authorities (Alvarez et al., 1998). In this sense, successful construction of issues and interests constitute both a precondition and an outcome of collective action.

3.2.3. Political inclusion of people

The third dimension regards the mobilisation of people into politics. Here Törnquist follows Mouzelis (1986) in his distinction between *integration* on the basis of broad popular movements generated by comprehensive economic development, and elitist incorporation of less solid organisations into comparatively advanced politics in economically late-developing societies. In the latter case, Mouzelis makes a further distinction between clientelism and populism. Clientelism, on the one hand, refers to networks of patrons at different levels with capacity to deliver some concessions in return for services and votes. Populism, on the other hand, generally goes with charismatic leaders who are able to express popular feelings. Political inclusion of people also refers to the mobilising structures of collective movements, i.e. the organisational form within a movement and to networks and institutions in society that may serve as arenas for collective mobilisation. Such social infrastructure can facilitate communication, co-ordination and solidarity prior to and during collective actions. This means that a movement's ability to organise civil society and ensure a degree of political inclusion may be an important source of movement strength and political influence.

4. The post-apartheid political space for housing struggles

South Africa's democratic transition, with negotiated compromises and social compacts as key features, has radically transformed the political space for housing struggles (Lodge, 1999; Marais, 2001). This topic is too complex to provide any kind of comprehensive examination here. However, a few remarks about key characteristics of relevant political discourses, institutional architecture and political opportunity structures are in order as a precursor to the concrete analysis of the SAHPF.

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371 Contemporary *political discourses* within the housing 372 sector must be understood against the background of 373 the National Housing Forum, which was established in 374 the transition period as an arena for negotiations over 375 future housing rights and strategies to resolve the crises 376 of inadequate and segregated housing. The Forum, 377 which included multiple interests and stakeholders, was 378 marked by a polarisation between the interests of economic elites in the housing sector, expressed through the 380 *Urban Foundation*, and the interests expressed by ANC, 381 the South African National Civics Organisation (SAN-CO) and progressive non-governmental organisations (NGOs) (Bond, 2000a,b; Huchzermeyer, 2001; Lalloo, 1999; Wilkinson, 1998). Whereas the coalition of ANC, 384 385 SANCO and NGOs emphasised the state's role in reducing the apartheid legacy of social and racial in-387 equalities, the Urban Foundation was unwilling to grant 388 the state a prominent role unless this was deemed nec-389 essary to ensure economic development. The Urban 390 Foundation held a clear majority within the Forum and 391 possessed a superior organisational capacity (Lalloo, 392 1999). Consequently, the Forum came to favour market 393 rather than state-led housing policies. As housing became a politicised issue during the election campaign in 395 1994, the political discourse on housing strategy turned 396 towards short-term goals of speedy delivery of large 397 numbers of housing units (Bond, 2000a). In the end, these constellations yielded a hegemonic discourse on 399 housing strategy that was product- and delivery-ori-400 ented rather than process- and participation-centred, 401 and where self-help and market mechanisms were granted a central role (Hart and Parnell, 1999). The key 403 mode of state intervention in the housing process be-404 came housing subsidies to identified target groups, for houses that would be delivered through a partnership between state planning agencies and private developers. 407 These post-apartheid discourses and development 408 mechanisms have to a large extent framed housing 409 policies and strategies in the post-apartheid period (Hart 410 and Parnell, 1999; Huchzermeyer, 2001; Oldfield, 2000b; 411 Parnell, 1992). Shortly after assuming power, the ANC 412 expressed its own vision for the housing sector through The White Paper on Housing (Republic of South Africa, 414 1995). The overall aim was to: "Establish a sustainable 415 housing process which will eventually enable all South Africa's People to secure housing with secure tenure, within a safe and healthy environment and viable communities in a manner that will make a positive contri-418 419 bution to a non-racial, non-sexist, democratic and 420 integrated society, within the shortest possible time frame" (Republic of South Africa, 1995, p. 20). More 422 specific targets were to provide 1 million houses within 423 five years and allocate 5% of the annual national budget 424 to the housing sector. The chosen provisioning mechanisms were largely similar to those prescribed by the 426 National Housing Forum. An alternative discourse on

people's participation in housing development was subordinated to this hegemonic discourse's emphasis on top-down technocratic planning and commercial housing contractors. Thus, Kotzé observes that: "the originally progressive notion of *people's participation* in development, once so central to the RDP, seems to have gone the same way as the notion of *self-help* in that it has often become a mere gesture" (Kotzé, 1998, p. 98, emphasis in original). One of the main achievements of the SAHPF, which will be discussed in the next section, has been a discursive shift away from top-down technocratic development management to a participatory people-centred housing process in the late 1990s.

Regarding institutional architecture, the democratic transition has yielded an overall reorganisation of state institutions at different scales with an increasing degree of democratic decentralisation to the provincial and local levels. Following The White Paper on Housing, a division of labour has been established between policy making and overall resource allocation (e.g. state land and housing subsidies) by national government and decentralised implementation by provincial and local state institutions. The provincial level, which has limited autonomy in regard to national government, is granted significant powers in regard to the local state, both in terms of decision making on resource allocation and in terms of intervention in actual implementation at the local level (Republic of South Africa, 1997). The Provincial Housing Boards, for instance, can make strategic decisions on minimum standards for housing and prioritise among development projects within parameters set by national policies and budget allocations, but have limited power to influence the fiscal mechanisms that determine the availability and allocation of economic resources (Kihatoo, 2000). The present regime has actively sought to redesign the ensemble of local state institutions and government as developmental local states, with an embedded autonomy in regard to local civil society and economy as well as to political institutions and actors at provincial and national levels (Oldfield, 2000b; Ramutsindela, 2001). The expectation is that such local developmental states will generate efficient and relevant economic and social development and also contribute to a substantial democratisation from below. More time and research is clearly required before any conclusion can be drawn about these experiences. What seems clear at the present conjuncture is that the political autonomy of local institutions is constrained by political processes and decisions at the other levels and that this may have prohibited the local state from playing the anticipated developmental role. Thus, Huchzermeyer observes that "the centralised nature of urban policy in South Africa in which local government acts merely as implementer, has discouraged the explorations of alternatives from within local government" (Huchzermeyer, 2000, p. vii). On the other hand, critical

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483 insight is also required regarding the local embeddedness of the local state. The national and local politics of land allocation may provide an illustrative example. Land is a crucial component in any integrated housing process and, thus, for the de-racialisation of South African cities (Republic of South Africa, 1995, 1997). The White Paper on Housing (Republic of South Africa, 1995) and *The Housing Act* (Republic of South Africa, 1997) called on provincial and local governments to utilise needed means to ensure a supply of land for housing and established the principle that unoccupied land should be transferred to the local state. ² This gradual transfer of land to local authorities may contribute to more flexible, speedy, simple and ultimately more efficient land allocation. However, it also opens up the local politicisation of land issues. It seems likely that elite interests may ensure that land in desirable locations will be allocated to other purposes than social housing, but local control also opens up channels for popular struggles for land. In any case, it is obvious that the democratising and developmental effects of decentralisation cannot be taken for granted.

South Africa's democratic transition has radically transformed the system of formal and informal political channels that are available to popular movements. Civics were, prior to 1994, generally opposed to the apartheid state and operated with a high degree of political autonomy vis-à-vis state institutions and government. Maharaj (1996) demonstrates that the local reality was a bit more complex as certain local political channels did in fact exist in the 1980s. Civics involved in housing struggles used these channels and thereby contributed to the transformation of the local state and to emerging tensions between the local and the national state. Nevertheless, this pattern does not fundamentally alter the understanding of state/civics relations as principally antagonistic prior to the democratic transition. Postapartheid political opportunity structures are clearly of a very different nature.

The South African democratisation process has obviously created new opportunities for access to and strategic alliances with political elite groups, but also tendencies towards co-optation and de-politicisation. A few key mechanisms should be mentioned here. First, the character of the democratic transition in South Africa, with a strong emphasis on negotiations and compromises between diverse stakeholders, means that political participation of civics has been institutionalised through various advisory boards and forums. This restructuring has opened up a number of formal and informal channels for political influence. However, several observers have also pointed out that this has depoliticised civics and placed them in a role as stakeholders rather than as a critical political force (Bond, 2000b; Jones and Datta, 2000; Lodge, 1999; Marais, 2001; Napier, 1998; Smit, 2001). Second, the ANC has throughout the 1990s had a somewhat ambivalent relation with civics. On the one hand, civics have been seen and treated as useful political allies. On the other hand, they have also been perceived as instrumental community organisers within a technocratic and top-down development process. This view has rendered a role for civics as parastatal development agencies or state-supported NGOs (Marais, 2001; Napier, 1998; Smit, 2001). Finally, several civics leaders and activists have been integrated into formal politics through political and bureaucratic positions. Although civics sought to build popular democratic structures in local communities, they often remained somewhat hierarchical and topdown. In practice the loss of key leaders and activists has constituted a significant weakening of their organisational capacities (Levine and Weiner, 1996).

In sum, the identified post-apartheid changes in political discourses, institutions and channels may have created new opportunities but also reduced the necessity and ability of civics to function as independent watchdogs. This shift means that the political transition has been an ambiguous political process for popular movements—producing new and widened political spaces but also tendencies towards de-politicisation.

5. SAHPF as a post-apartheid civil/political association

The SAHPF is a popular movement whose aim is to realise fundamental socio-economic rights for the urban poor. ³ The SAHPF emerged from a conference of homeless people from informal settlements and backyard shacks, held in Broederstrom in 1991. This conference identified the primary needs of the urban poor to be shelter and land for houses, and argued that access to credit was a critical precondition for fulfilment of the right to adequate shelter. Following from this, local saving groups started to appear in October 1992 and were consolidated as a co-ordinated movement—uMfelandawonye waBantu BaseMjondolo (The SAHPF)—in

² While discussing the political mechanisms for land allocation, it should be kept in mind that the market remains the main mechanism for land allocation in urban areas. High land prices and relatively modest housing subsidies, combined with the requirement that land must be in hand before the housing subsidy can be made available to the builder, contribute to the reproduction of urban segregation with housing schemes for poor people located at the urban periphery and other less desirable areas.

³ The analysis is based on fieldwork in Cape Town in the fall of 2000. Primary data was gathered through qualitative interviews with representatives from the People's Dialogue, the SAHPF and from local government institutions in the Cities of Cape Town and Tygerberg (now incorporated in the Cape Town UniCity), the South Peninsula Municipality and the Western Cape Province.

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March 1994. As one of the fastest growing and most 577 visible social movement in the 1990s, SAHPF has been 578 upheld as a paradigmatic case of post-apartheid popular 579 mobilisation (Billy and Ismail, 1998; Bond, 2000a; Smit, 580 2001). The Federation is, in several critical ways, dif-581 ferent from the civics that emerged under apartheid. 582 First, whereas civic associations mobilised around local 583 socio-economic demands to form a front against 584 apartheid, post-apartheid popular movements like the 585 Federation strive for the realisation of socio-economic 586 rights within the political context of the new democratic state. Second, while the civics grew out of domestic ex-587 588 periences of oppression and exploitation under apart-589 heid, the Federation has also relied on inspiration from 590 international discourses, experiences and practices regarding social housing. Third, whereas the Federation is 592 a loosely connected network of autonomous local 593 groups with a high degree of political autonomy, the civics have close political affiliations with the ANC through the SANCO. Fourth, there are distinct differ-596 ences in organisational form. Every community-based 597 organisation (CBO) within the Federation has a high 598 degree of autonomy with a decision making process based on equal participation of all members. Civics, on 600 the other hand, have commonly been hierarchically or-601 ganised with elected representative committees holding 602 extensive powers to make strategic decisions and ensure their implementation (Levine and Weiner, 1996). These 604 differences mean that SAHPF and the civics represent two organisational models, with potentially different 605 606 capacities to mobilise society, exert political influence and advance the political inclusion of the urban poor.

608 5.1. Location in the political terrain

609 Törnquist (1999) argues that analyses of movement 610 politics should pay close attention to the collective actor's strategic deliberations over where and how it is 611 meaningful to be active. Prior to 1994, the Federation chose to concentrate on building a network of local 613 614 groups in informal settlements that would eventually 615 yield a strong popular movement of the urban poor (Bolnick, 1993, 1996). The Federation has gradually 617 transformed itself from such a civil association to a civil/ political movement, i.e. from concentrating solely on community mobilisation to gradually combining com-619 munity work with political engagement with state actors 621 at different scales. A decisive factor for the Federation's capacity to influence relevant political processes, both 623 locally and nationally, has been exactly their capacity building in local civil society (Joel Bolnick, personal communication). Thus, it can be argued that the com-625 bination of social and political mobilisation has ensured a degree of political inclusion for socially and politically 627 marginalised groups. Conversely, their political relations 629 with state actors and NGOs at different scales have

granted access to vital resources such as housing subsidies for the empowerment of SAHPF's constituencies of urban poor.

The Federation advocates a people-driven housing process (Bolnick, 1993, 1996; Heldal, 1997). They emphasise that the poor are the foremost experts in building their own houses and that their latent capacities should be captured in housing strategies (People's Dialogue, 1998a,b, 1999a,b, 2000a,b,c). Still, the actual construction of houses is only seen as an element in a larger development process that also involves income generating activities and overall community building (Bolnick, 1993, 1996) (Qxoliswa Tiso, personal communication). The core of the SAHPF's community mobilisation is the local saving groups. These saving groups are different from conventional micro credit schemes in the sense that saving is a collective activity that is designed to strengthen collective identities and organisational capacities. Since the act of saving is considered to be as important as the actual amount of money saved, loans are granted on the basis of active and long-term engagement in saving groups rather than the actual accumulation of funds (Joel Bolnic, Qxoliswa Tiso, personal communication; Heldal, 1997; Huchzermeyer, 2001). While some groups deposit their savings in commercial banks, a growing number are using the Federation's own regional funds (Ingolobane), which also mobilise funds and offer loans for other purposes than housing.

The SAHPF is occasionally accused of being little more than a conventional NGO that idealises self-help in civil society and allows the state to shift the responsibility for adequate shelter onto the poor communities themselves (Bond, 2000a). Leaders and activists that we have interviewed counter this critique by pointing to the aforementioned collective and ideological nature of the local groups. The organisation of saving as a group effort rather than as individual resource mobilisation makes the saving groups a mechanism for collective mobilisation and identity formation (Huchzermeyer, 2000, 2001). This idea is expressed in the slogan: "When we collect money, we collect people" (Qxoliswa Tiso, personal communication). Such community mobilisation forms the basis for political inclusion and negotiations with state actors. Furthermore, SAHPF's peopledriven housing process is also about developing and demonstrating the sustainability of an alternative to the hegemonic state discourse and housing strategy. Community mobilisation thus constitutes a critical precondition for both material and discursive political inclusion of homeless people, i.e. a strategy of political engagement rather than disengagement in regard to the state. Expressed in the following way by one informant within People's Dialogue: "The aim is to get the state on the hook, not off the hook" (Joel Bolnick, personal communication).

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686 5.2. Political channels for resource mobilisation

A key feature of a collective actor's strategic deliberations around location in the political terrain is an active interpretation of institutional architecture and political opportunity structures. There were few and weak links between the South African Federation of Homeless People and the state prior to 1994. Federation leaders saw it as meaningless to develop relations to state institutions that remained embedded in the apartheid regime and chose instead to concentrate on capacity building in poorly organised informal settlements (Pieterse and Simone, 1994; Seekings, 2000). The democratic transition created a political space that made it possible to establish a network of CBOs. However, the 700 Federation still chose to abstain from the negotiations in the National Housing Forum and other similar arenas.

SAHPF's strategy regarding where to be active changed after the first democratic elections in 1994, when there was a shift towards critical and selective engagement with the state (Joel Bolnick, personal communication; Bolnick, 1996). Thereafter, the Federation has developed informal links to centrally placed political actors and has been engaged with key institutions like national and provincial housing boards. They have utilised new policies, like the housing subsidies programme, to mobilise resources (e.g. land and funding) for members, while also seeking to influence policies on land and housing and on the role of poor people in the housing process. Access to alternative sources of credit has always been an important issue for the Federation simply because the members are unable to obtain loans from formal financial institutions. Even though most Federation members are entitled to subsidies from the government, it has been a common experience that the funds are insufficient and normally received after the project is started or the houses are built. Access to bridging finance is therefore important. An important breakthrough came in 1994/1995, when the Federation established its own revolving fund (uTshani). The uTshani fund grants the members access to small loans for housing. uTshani has also been used as a channel through which national and provincial governments release subsidies and other resources to local Federation groups. The institutionalisation of uTshani and subsequent uTshani agreements with the national or provincial state have granted the Federation control over vital economic resources that they can use to enable local people-driven housing processes.

This engagement with the state has not, according to our informants, yielded any extensive co-optation and de-politicisation. The Federation has avoided political affiliation with any political party but has built flexible alliances across party boundaries. Their emphasis on building community capacity has given the Federation considerable bargaining power towards the state. The

Federation had already built functioning community structures and houses through a practical and peopledriven strategy that was consistent with new state discourses on participatory development. Whereas civics within SANCO have focused on citizenship rights and have made political demands about their realisation, the Federation has focused on the practical fulfilment of formal rights through resource mobilisation and community mobilisation (Charles Croeser, Joel Bolnick, personal communication). Thus, SAHPF has something to offer vis-à-vis a state troubled with economic and institutional constraints within the housing sector (Charles Croeser, personal communication). In dealing with the state, the Federation could legitimately claim that: "We do what you say you would like to do but cannot handle; if we get sufficient resources we can handle this together" (Joel Bolnick, personal communication). In effect the Federation has utilised their organisational capacity, created through mobilising structures in local communities, to strengthen their political relations and capacities vis-à-vis other central actors in the housing sector.

The Federation is also involved in an active partnership with a registered non-governmental organisation called the People's Dialogue (PD). The role of NGOs in South Africa has undergone marked changes. Many NGOs, who organised and supported local struggles against apartheid, now function as non-governmental service providers in local communities, i.e. as the bottom tier in a relatively top-down and centralised development process controlled by the national state and international aid donors (Napier, 1998; Smit, 2001). Partnerships between NGOs and community organisations are often characterised by uneven power relations and the NGOs' ability to represent the poor in efficient, transparent and accountable manners may be called into question. Our informants assert that the partnership between SAHPF and PD deviates from this pattern, describing it as a key asset for the Federation. PD plays a key role as a mediator between the Federation and the formal political sphere, makingformal political procedures, politics and bureaucracy intelligible to local Federation groups while also communicating and explaining the Federation's grievances and practices to politicians and bureaucrats (Michael Hoffman, personal communication). They handle all matters that require formal legal status, including fund raising from domestic and international sources, and thereby facilitate actual people-driven housing processes in informal communities (Heldal, 1997; Michael Hoffman, personal communication). By using the PD as a channel into the formal political system, local Federation groups can maintain their informal and flexible character.

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794 5.3. Political discourses and politicisation of issues

Another important meeting point between SAHPF and the state is in the field of housing discourses. *The White Paper on Housing* introduced a state discourse on people's power, participatory development and empowerment which to a large extent has defined the post-apartheid discursive field in housing. The state discourse on housing has been characterised by a firm belief in a non-conflictual process of negotiations, compromises and social compacts involving all relevant stakeholders, including influential private economic interests. This discourse is also marked by a tension between the need for speedy delivery of a substantial number of houses and the desire to involve people and communities in their own housing development (Bond, 2000a; Lalloo, 1999).

The state discourse on housing stands in contrast to the Federation discourse which persistently advocates a truly bottom-up, people-driven housing process where housing is a means towards actual participatory development, self-reliance and empowerment rather than the principal end itself. Federation activists have accused the state of promoting a top-down and delivery-oriented housing approach that reifies the power of state institutions and private developers while civics and NGOs are assigned the role of suppliers of services. The state approach, it is argued, rests on the assumed existence of well functioning community structures with community interests articulated through democratic civic associations (Thami Maqelena, personal communication). In opposition to this understanding, the Federation insists on the need to build inclusive and representative community structures as a precondition for a participatory housing development process (Joel Bolnick, Qxoliswa Tiso, personal communication). This means that both state and Federation discourses on housing revolve around notions of participation, local civil society and empowerment. However, this apparent convergence hides real differences in the conceptualisation and implementation of participatory housing development. These differences can be summarised in the Federation slogan that housing development should not just be people-centred but also people-driven and controlled.

The Federation's counter-hegemonic discourse has been an important source of inspiration for the government's strategy for a *People's Housing Process* that was launched in 1998 (Joel Bolnick, Charles Croeser, Belinda Fortune, Nicky Sasman, personal communication). This strategy reiterates the importance of participatory development and insists on the active participation of poor people, in partnership with local state institutions, private developers, NGOs and civics. This conceptualisation represents a significant discursive concession to the Federation (People's Dialogue, 1998b, 1999b, 2000c). Nevertheless, the Federation maintains

that the implementation of the process remains within the neo-liberal frame with an emphasis on delivery of houses, participation as consultation and a continued neglect of the importance of building community capacity (Joel Bolnick, Michael Hoffman, personal communication). They argue that systematically uneven power relations between marginalised communities and their state, non-governmental and private partners in the housing process remain unaltered (Huchzermeyer, 2000, 2001). Consequently, the Federation continues to work for land and funding for its members, despite the fact that the state's People's Housing Process is supposed to obtain the same results and through mechanisms that are presented as identical to those of SAHPF.

5.4. Scale and the politics of land

The point of departure for this article was the observed general and South African tendency towards localisation of politics in the context of neo-liberal globalisation. The post-apartheid institutional architecture in South Africa is organised in three tiers of government and administration; national, provincial and local, with different responsibilities and degrees of autonomy and capacity (Atkinson and Reitzes, 1998; Cameron, 1999; Oldfield, 2000b). This structure creates a situation where popular movements like SAHPF have to make strategic decisions about where to be active in terms of spheres, as already discussed, but also with regard to scale. The latter can be briefly illustrated by the contemporary politics of land allocation in Cape Town (Millstein, 2001).

The Federation is a key urban social movement and actor within the housing sector in the Western Cape and Cape Town. Approximately 400 saving groups are currently operating within the province, most of them within the Cape Town metropolitan area. These groups organise more than 30000 members, mainly women. The Federation has constructed more than 5000 houses in Western Cape during the period 1995–2001 and close to half of these have been erected without state subsidies (Joel Bolnick, Qxoliswa Tiso, personal communication; People's Dialogue, 2000b). The Federation's most publicised housing scheme, Victoria Mxenge, is located in Philippi. Victoria Mxenge, which also functions as a regional network node and education centre (ufundu Zufe), has been expanded through two new housing schemes (Hazeldean and Vukuzenzele). These three Federation projects illustrate three modes of land acquisition. Victoria Mxenge was built on land that was owned by the Catholic Church and acquired after prolonged negotiations with church and local state representatives; Hazeldean was constructed on land that was purchased from a private land owner, and Vukuzenzele is developed on land that was taken over after a collective land occupation and subsequent negotiations

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903 with the registered land owner (Joel Bolnick, personal communication; People's Dialogue, 1998b).

Contemporary South Africa is marked by a complex system of ownership and institutional responsibilities in regard to land as well as relatively weak integration of land issues in housing policies. This system is to a certain extent a product of the apartheid era, when the admin-910 istrative responsibility for state land was fragmented between diverse institutions and tiers of the state. It 912 constitutes a major impediment for state and popular housing development initiatives, for instance through 914 the difficulties involved in identifying the owner of a plot of land. Billy and Ismail (1998), for instance, describe 915 one case where land negotiations ensued for two years between a local Federation group and the City of Tygerberg before it was discovered that the plot of land was the property of the Western Cape Province. Fur-920 thermore, successful negotiations about land acquisition require functional relations with a diversity of political and private actors operating at different scales. The Federation has traditionally built strong formal and informal political relations at the national and provincial levels. Such relations have granted a certain influence on policy formulations, but have also been crucial for the instrumental interest of acquiring land. Direct interventions by the Minister of Land and the Ministry of Housing ensured, for instance, that the Vukuzenzele group gained access to housing subsidies which could be utilised to purchase the land that had already been illegally occupied by the group. Federation groups in the Western Cape have on several occasions been able to purchase land at subsidised cost from the Provincial government (Michael Hoffman, personal communication). Land purchases have also been facilitated by uTshani agreements at the national and provincial levels.

An on-going process of transferring responsibility for state land to the local state means that while land ownership should become less convoluted, the political opportunity structures for housing movements are being recast and new needs for local political relations imposed. At the local level in the Western Cape, the Federation has had quite different experiences with the cities of Tygerberg and Cape Town (now merged within the Cape Town UniCity). Several informants observe that local authorities in the City of Tygerberg subscribed to a significant degree to the Federation discourse on housing and also provided organisational and technical assistance (Thami Magelena, Qxoliswa Tiso, personal communication). SAHPF was, at the time of our fieldwork, involved in three major partnerships with the City of Tygerberg, where local groups had been allocated land from the city. This arrangement stood in contrast to the much more problematic relationship to the City of Cape Town, with numerous conflicts over the nature and extent of popular control and participation in the housing process, over allocation of land and regarding the construction and operation of infrastructure and public services (Qxoliswa Tiso, personal communication). Thami Magelena (personal communication) asserts that these conflicts with the City of Cape Town were generated in the meeting of established political actors and constellations and the SAHPF as a relatively new political actor at this level. Strong local Federation groups, which advocate and implement an alternative and participatory housing process, pose a challenge to existing institutions and actors within the housing sector. Such groups demonstrate that the current institutional architecture and channels for participation are insufficient to ensure substantial local democratisation within the housing sector (Thurman, 2000). In consequence SAHPF groups now find themselves within a local political context that is characterised by changing institutional responsibilities and capacities. This dynamic poses challenges in terms of developing meaningful political relations and strategies in regard to land and other issues.

The difficulties involved in gaining access to land have initiated new strategic deliberations within the movement. SAHPF and People's Dialogue advise local groups to avoid land occupations since negotiations and agreements with local governments are seen as more likely to succeed than confrontation. Land occupation is only recommended as an instrumental mean for initiating such negotiations. However, the difficulties experienced in getting access to land combined with recent legal reforms that should reduce the propensity of local governments to retort to violence, may lead to more frequent use of force by local groups (Joel Bolnick, personal communication). The future is likely to hold an intensified politicisation of land at the local level involving diverse state and non-state actors in complex systems of conflict and alliance. The local political spaces and capacities of key popular actors such as the SAHPF will be crucial in determining the outcome of this potentially conflictual situation.

6. Conclusion 999

Contemporary tendencies towards localisation of politics call for critical analyses of the making and transformation of political spaces and the political capacities of local actors to utilise rights, institutional channels and discourses to promote their instrumental and democratic aims. In South Africa, post-apartheid changes in political discourses, institutions and channels have created new opportunities for popular movements but also disabling structures of co-optation and de-politicisation. While the political spaces and experiences of local groups are diverse, we believe that the SAHPF has had a certain capacity to make use of and transform

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- 1012 local and non-local political spaces within the housing
- 1013 sector. The Federation has utilised political relations at
- 1014 different scales to mobilise resources such as land and
- 1015 housing, but it has also influenced the formulation of
- 1016 housing discourses and policies through its practical
- 1017 experiences with people-driven housing processes. The
- 1018 prime source of the SAHPF's political capacity has been
- 1019 their ability to mobilise local communities and achieve
- 1020 results through an alternative housing development
- 1021 model. These achievements and their own housing dis-
- 1022 course have been crucial for successful political negoti-1023 ations with state actors at different scales. Mediated
- 1024 through the partnership with People's Dialogue, these
- 1024 through the partnership with Feople's Dialogue, these 1025 political relations have granted access to state resources
- 1026 that could enable further community work. Thus the
- 1027 SAHPF's ability to function as a civil/political move-
- 1028 ment has granted them a certain capacity to participate
- 1029 in the complicated process of turning de jure rights to
- 1030 adequate shelter into de facto rights for the urban poor
- 1031 as citizens of a democratic South Africa.

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